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10/046,683	10/26/2001	Ivan Saltz	29890.010000	2416

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GREENBERG TRAURIG, P.A.  
1221 BRICKELL AVENUE  
MIAMI, FL 33131

EXAMINER

ALI, MOHAMMAD

ART UNIT	PAPER NUMBER
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2177

DATE MAILED: 02/05/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/046,683

Applicant(s)

SALTZ, IVAN

Examiner

Mohammad Ali

Art Unit

2177

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 26 October 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-8 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

### DETAILED ACTION

1. The application has been examined. Claims 1-8 are in this Office Action.

#### *Priority*

2. Receipt is acknowledged of papers submitted under 35 USC 119(a)-(d) or (e) for a provisional application.

#### *Specification*

3. The abstract of the disclosure is objected to because the word "**comprises a method**" should not be in the **Abstract**.

Correction is required. See MPEP § 608.01(b).

Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

- (f) Brief Summary of the Invention: See MPEP § 608.01(d). A brief summary or general statement of the invention as set forth in 37 CFR 1.73. The summary is separate and distinct from the abstract and is directed toward the invention rather than the disclosure as a whole. The summary may point out the advantages of the invention or how it solves problems previously existent in the prior art (and preferably indicated in

Art Unit: 2177

the Background of the Invention). In chemical cases it should point out in general terms the utility of the invention. If possible, the nature and gist of the invention or the inventive concept should be set forth. Objects of the invention should be treated briefly and only to the extent that they contribute to an understanding of the invention.

- (g) Brief Description of the Several Views of the Drawing(s): See MPEP § 608.01(f). A reference to and brief description of the drawing(s) as set forth in 37 CFR 1.74.

Summary of the Invention should be written before the Brief Description of the Drawings.

Appropriate correction is required.

#### ***Information Disclosure Statement***

4. Examiner noted that in page 3, lines 8-9 certain patents number is listed. In order to consider these patents it should be in the Form PTO-1449.

The listing of references in the specification is not a proper information disclosure statement. 37 CFR 1.98(b) requires a list of all patents, publications, or other information submitted for consideration by the Office, and MPEP § 609 A(1) states, "the list may not be incorporated into the specification but must be submitted in a separate paper." Therefore, unless the references have been cited by the examiner on form PTO-892, they have not been considered.

#### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weitzman et al. ('Weitzman' hereinafter), US PG Pub 2002/0099605 A1 in view of Fox et al. ('Fox' hereinafter), US Patent 6, 574,632 B2.

With respect to claim 1,

Weitzman discloses a database management system for use with a searchable computerized database (see paragraph 0015), comprising:

a database containing data items (the search includes databases which are accumulated and indexed by various other search engines or computers on the network. The search may be limited to the particular database located on the computer providing the search engine interface, see paragraph 0037, Weitzman);

a user input interface for receiving database queries for specific data from users of said database (the search may be limited to the particular database located on the computer providing the search engine interface. The search term or query entered by the user is used to search a database stored on storage device in addition to one or more search engine available on the Internet, see paragraph 0037, Weitzman);

a limit engine module interfacing with said database responsive to said user input interface for expanding a database user's query for specific data to include data within a programmable from said database user query (member module 24 is primarily directed to obtaining and servicing registered visitors or members of the search engine any user may use the search engine to identify resources of particular interest on the computer network. Where the computer network includes the Internet, the search engine preferably provides links to various websites on the Internet in response to the user entering a search query. Unregistered visitors or users may use the search engine as represented by block 76. Upon entering the search term or query, a corresponding search of one or more databases such as those stored in storage device 22 is completed. The search includes databases which are accumulated and indexed by various other search engines or computers on the network. The search may be limited to the particular database located on the computer providing the search engine interface, see paragraph 0037, Weitzman);

a query builder module responsive to said limit engine module for formulating a database search query for database data within said supplied by said limit engine module (see paragraph 0037, Weitzman);

a query processor module responsive to said query builder module for processing said database search query formulated by said search query builder module (see paragraph 0037, Weitzman); and

a user display interface for displaying the results of said database search query processed by said query processor module to the database user (see paragraph 0037, Weitzman).

Weitzman does not explicitly indicate the claimed "range of deviation".

Fox discloses the claimed range of deviation (standard deviation are calculated without the largest n-gram frequency value. If the largest value fits within three standard deviation of the mean, then the number is used as the scaling factor, see col. 13, lines 32-35 et seq).

It would have been obvious to one ordinary skill in the data processing art, at the time of the present invention to combine the teachings of the cited references, because the range of deviation of Fox's teachings would have allowed Weitzman's system to retrieve a documents from a document database by providing users with multiple input interaction mode, in the search engine to limit the information, as suggested by Fox, at col. 3, lines 36-42 et seq.

As to claim 2,

Weitzman teaches wherein said limit engine is programmed to expand a database user's query for specific data to include data within a fixed percentage from the database user's query (see paragraph 0037 and 0038, Weitzman).

As to claim 3,

Weitzman teaches wherein said limit engine is programmed to expand a database user's query for specific data to include data within a fixed statistical standard

Art Unit: 2177

of data within said database from the database user's query (see paragraph 0051 et seq, Weitzman).

As to claim 4,

Weitzman teaches further comprising a module responsive to said query processor module for database data according to how closely said data matches the database user's query for specific data (see paragraph 0051, Weitzman).

Weitzman does not explicitly indicate the claimed "ranking".

Fox discloses the ranking (a neural network training portion to query a document corpus to retrieve relevant documents. Results of the retrieval engines are fused together and ranked, see col. 6, lines 5-7 et seq).

It would have been obvious to one ordinary skill in the data processing art, at the time of the present invention to combine the teachings of the cited references, because the ranking of Fox's teachings would have allowed Weitzman's system to selectively retrieve a documents from a document database to define a dictionary, as suggested by Fox, at col. 4, lines 1-0 et seq.

As to claim 5,

Weitzman teaches further comprising a sort module responsive to said ranking module for sorting said database data into descending order based on the assigned to each item within data by said module (see paragraph 0038, Weitzman).

With respect to claim 6,



Weitzman discloses a method for providing database search query results according to similarity of database objects to search query criteria within a programmable (see paragraph 0015), comprising the following steps:

receiving a database user query input for specific data (the search includes databases which are accumulated and indexed by various other search engines or computers on the network. The search may be limited to the particular database located on the computer providing the search engine interface, see paragraph 0037 and 0046 Weitzman);

expanding said query input to include data within said programmed from said query (the search may be limited to the particular database located on the computer providing the search engine interface. The search term or query entered by the user is used to search a database stored on storage device in addition to one or more search engine available on the Internet, see paragraph 0037, Weitzman);

formulating a database search query for database data within said programmed (member module 24 is primarily directed to obtaining and servicing registered visitors or members of the search engine any user may use the search engine to identify resources of particular interest on the computer network. Where the computer network includes the Internet, the search engine preferably provides links to various websites on the Internet in response to the user entering a search query. Unregistered visitors or users may use the search engine as represented by block 76. Upon entering the search term or query, a corresponding search of one or more databases such as those stored in storage device 22 is completed. The search includes databases which are

accumulated and indexed by various other search engines or computers on the network. The search may be limited to the particular database located on the computer providing the search engine interface, see paragraph 0037, Weitzman);

processing said database search query for database data within said programmed (see paragraph 0051, Weitzman); and

displaying the results of said database search query to the database user (see paragraph 0038, Weitzman).

Weitzman does not explicitly indicate the claimed "range of deviation".

Fox discloses the claimed range of deviation (standard deviation are calculated without the largest n-gram frequency value. If the largest value fits within three standard deviation of the mean, then the number is used as the scaling factor, see col. 13, lines 32-35 et seq).

It would have been obvious to one ordinary skill in the data processing art, at the time of the present invention to combine the teachings of the cited references, because the range of deviation of Fox's teachings would have allowed Weitzman's system to retrieve a documents from a document database by providing users with multiple input interaction mode, in the search engine to limit the information, as suggested by Fox, at col. 3, lines 36-42 et seq.

As to claim 7,

Weitzman teaches database data within said programmed according to how closely said data matches the user's query for specific data (see paragraph 0051, Weitzman).

Art Unit: 2177

Weitzman does not explicitly indicate the claimed "ranking".

Fox discloses the ranking (a neural network training portion to query a document corpus to retrieve relevant documents. Results of the retrieval engines are fused together and ranked, see col. 6, lines 5-7 et seq).

It would have been obvious to one ordinary skill in the data processing art, at the time of the present invention to combine the teachings of the cited references, because the ranking of Fox's teachings would have allowed Weitzman's system to selectively retrieve a documents from a document database to define a dictionary, as suggested by Fox, at col. 4, lines 1-0 et seq.


As to claim 8,

Weitzman teaches comprising the step of sorting said database data into descending order based on the assigned to each data item within said programmed (see paragraph 0078 and 0083 et seq, Weitzman).

**Contact Information**

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mohammad Ali whose telephone number is (703) 605-4356. The examiner can normally be reached on Monday to Thursday from 7:30am-6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene can be reached on (703) 305-9790 or Customer Service (703) 306-5631. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306 for any communications. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-9600.

  
Mohammad Ali

Patent Examiner

AU 2177

MA

February 03, 2004